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Attorney Docket No.: 50623.26

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUN 1 0 2002

JUN 2 4 2002

In re Application of:

Examiner:

Hieu Phan

TECHNOLOGY CENTER ROTOO

Wouter E. Roorda

Serial No.:

09/748,412

Art Unit:

3738

Filed:

December 21, 2000

Title:

Device And Active Component For Inhibiting Formation Of Thrombus -

Inflammatory Cell Matrix

Commissioner for Patents Washington, DC 20231

## INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.98

#### Dear Examiner Phan:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. One copy of each cited reference is submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant reserves the right to dispute any of the listed documents as prior art during examination. Furthermore, Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

06/19/2002 MGEBREM1 00000014 071850 09748412

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Attorney Docket No.: 50623.26

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Information Disclosure Statement is being submitted after receiving an Office Action and after three months of the filing date of the above-identified application. Therefore, please charge Deposit Account No. 07-1850 in the amount of \$180.00 as specified in 37 C.F.R. §1.97(c) and 37 C.F.R. §1.17(p).

Date: June <u>(O</u>, 2002

Squire, Sanders & Dempsey L.L.P. One Maritime Plaza, Suite 300 San Francisco, CA 94111 Telephone (415) 954-0200 Facsimile (415) 393-9887 Respectfully submitted,

Cameron K. Kerrigan (Attorney for Applicants

Reg. No. 44,826



FORM PTO-1449 (Modified)

Approved for use through 10/31/2002

US Patent and Trademark Office

INFORMATION DISCLOSURE CITATION

in an Application

(Use several sheets if necessary)

Docket No. \$7<sub>RAL</sub>50623.26 Application No.

Applicant

Wouter E. Roorda

Filing Date

December 21, 2000

Group Art Unit

U.S. PATENT DOCUMENTS							
Examiner Initial	Ref. No. Document Number		Date of Patent	Name	Class	Subclase	Filing Date if
	A1	2,072,303	3/2/37	Herrmann et al.	128	335.5	
	A2	4,733,665	3/29/88	Palmaz	128	343	
	А3	4,800,882	1/31/89	Gianturco	128	343	
	A4	4,886,062	12/12/89	Wiktor	128	343	
	A5	4,931,287	6/5/90	Bae et al.	424	484	
	A6	4,977,901	12/18/90	Ofstead	128	772	
	A7	5,171,217	12/15/92	March et al.	604	53	
	A8	5,328,471	7/12/94	Slepian	604	101	
	А9	5,383,928	1/24/95	Scott et al.	623	1	
	A10	5,464,650	11/7/95	Berg et al.	427	2.30	
	A11	5,575,815	11/19/96	Slepian et al.	623	1	
	A12	5,578,073	11/26/96	Haimovich et al.	623	1	
	A13	5,605,696	2/25/97	Eury et al.	424	423	
	A14	5,628,730	5/13/97	Shapland et al.	604	21	
,	A15	5,667,767	9/16/97	Greff et al.	424	9.411	_
	A16	5,670,558	9/23/97	Onishi et al.	523	112	
	A17	5,700,286	12/23/97	Tartaglia et al.	623	1	
	A18	5,716,981	2/10/98	Hunter et al.	514	449	
	A19	5,780,044	7/14/98	Yewey et al.	424	426	
	A20	5,800,392	9/1/98	Racchini	604	96	
	A21	5,817,343	10/6/98	Burke	424	489	
	A22	5,824,049	10/20/98	Ragheb et al.	623	1	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RM PTO-1449 (Modified) proved for use through 10/31/2002			US DEPARTMENT OF COMMERCE US Patent and Trademark Office		Docket No. 50623.26		Application No. 09/748,412		
		TION DISCLO			Applicant Applicant			70, 112	
	in an Application					·	Vouter E. Roorda		
		(Use several sheets if n	ecessary)		Filing Date December 21, 2000		Group Art Unit 3738		
			U.S. P	ATENT DOC					
Examiner Initial	Ref. No.	Document Number	Date of Patent	Name		Class	Subclass	Filing Date i	
	A23	5,830,178	11/3/98	Jon	es et al.	604	49		
	A24	5,837,008	11/17/98	Ве	rg et al.	623	1		
	A25	5,837,313	11/17/98	Dir	ng et al.	427	2.21		
	A26	5,851,231	12/22/98	Wc	olff et al.	623	1		
	A27	5,851,508	12/22/98	Gre	eff et al.	424	9.411		
	A28	5,865,814	2/2/99	•	Tuch	604	265		
	A29	5,869,103	2/9/99	Ye	h et al.	424	501		
	A30	5,873,904	2/23/99	Ragi	Ragheb et al.		1		
	A31	5,951,586	9/14/99	Ве	rg et al.	606	198	•	
	A32	5,971,954	10/26/99	Con	way et al.	604	96		
	A33	5,980,928	11/9/99	-	Terry	424	427		
	A34	5,980,972	11/9/99		Ding	427	2.24		
	A35	5,981,719	11/9/99	Woisz	willo et al.	530	410		
	A36	6,010,530	1/4/00	Goi	coechea	623	1		
	A37	6,015,541	1/18/00	Gre	eff et al.	424	1.25		
	A38	6,096,070	8/1/00	Ragi	neb et al.	623	1		
	A39	6,110,483	8/29/00	Whitbo	ourne et al.	424	423		
	A40	6,153,252	11/28/00	Hoss	ainy et al.	427	2.3		
	A41	6,165,212	12/26/00	Dere	ume et al.	623	1.13		
	A42	6,214,901	4/10/01	Chuc	dzik et al.	523	113		
	A43	6,262,034	7/17/01	Mathi	owitz et al.	514	44		
	A44	6,263,880	7/24/01	Parl	ker et al.	128	898		
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FORM PTO-1449 (Modified) Approved for use through 10/31/2002			US DEPARTMENT OF COMMERCE US Patent and Trademark Office		Docket No. 50623.26		Application No. 09/748,412				
INFORMATION DISCLOSURE CITATION in an Application					Applicant	Vouter E. Roorda					
(Use several sheets if necessary)					Filing Date December 21, 2		Group Art Unit 3738				
			FOREIGN	PATENT DO	CUMENTS						
Examiner Initial	Ref. No.	Document Number	Date of Publication		Country	Class	Subclass	Translation Yes No			
	B1	EP 0665023	8/2/95	Eu	ropean						
	B2	EP 0970711	1/12/00	Eu	ropean						
	В3	WO 91/12846	9/5/91		PCT				<u></u>		
	B4	WO 95/24929	9/21/95		PCT						
	B5	WO 97/45105	12/4/97		PCT						
	В6	WO 99/63981	12/16/99		PCT						
	B7	WO 00/12147	3/9/00		PCT						
	B8	WO 00/64506	11/2/00		PCT						
	В9	WO 01/01890	1/11/01		PCT						
	B10	WO 01/45763	6/28/01		PCT						
		OTHER DOCU	JMENTS	(Including Author,	Title, Date, Pertinent F	Pages, etc	:.)				
		Barath et al., Low Dose Injury, JACC 13(2):252		or Agents Preve	nts Smooth Muscle (	Cell Prolif	eration Afte	er Endoti	helial		
		Komatsu et al., Neointimal Tissue Response at Sites of Coronary Stenting in Humans, Macroscopic, Histological, and Immunohistochemical Analyses, Circulation, 98:224-233 (1998).									
		Matsumaru et al., <i>Embolic Materials for Endovascular Treatment of Cerebral Lesions</i> , J. Biomater. Sci. Polymer Edn. 8(7):555-569 (1997).									
		Miyazaki et al., Antitumor Effect of Implanted Ethylene-Vinyl Alcohol Copolymer Matrices Containing Anticancer Agents on Ehrlich Ascites Carcinoma and P388 Leukemia in Mice, Chem. Pharm. Bull. 33(6):2490-2498 (1985).									
		Miyazawa et al., Effects of Pemirolast and Tranilast on Intimal Thickening After Arterial Injury in the Rat, J. Cardiovasc. Pharmacol. 30(2):157-162 (1997).  Ohsawa et al., Preventive Effects of an Antiallergic Drug, Pemirolast Potassium, on Restenosis After Percutaneous Transluminal Coronary Angioplasty, Am. Heart J. 136(6):1081-1087 (1998).									
		Shigeno, <i>Prevention of Cerebrovascular Spasm by Bosentan, Novel Endothelin Receptor</i> , Chem. Abstracts 125:212307 (1996).									
EXAMINER				DATE CON	ISIDERED						
		ences considered, whether or not ith next communication to applica		nformance with MPEP §	609; Draw line through citation	n if not in cor	nformance and n	ot consider	∋d.		